

Identity criteria and fundamental concepts in archaeology

The case of the "archaeological site" Sorin Hermon STARC The Cyprus Institute Franco Niccolucci PIN University of Florence

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Aim of research

- Formally represent the "reasoning process" in archaeology
 - Information the <u>pattern of organization</u> of physical or digital objects, conceptual structures, and semantic relationships.
 - Source
 - Organization
 - Representation and interaction
- Identification of fundamental concepts in archaeology
 - Definition of their identity criteria
 - Representation of the relation between such concepts
- Impact of informatics on the archaeological discipline (enablers and constraints).
- Intellectual need for transparency of research (e.g. data provenance/quality and formal knowledge representation).

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Aim of research

- There are more than one useful senses of the term "site"
- Different definitions have different "fitness for purpose"
- Are there few "core" senses?
- Can we describe their identity criteria, such that a particular sense can be used in an information system unambiguously?
- What is the purpose of each sense, and how do we formally represent this?

Fundamental concepts in Archaeology

- Archaeological site
 - Archaeological research excavations
 - Regional research surveys
- Management administrative (not addressed in this paper)
- Basic research unit
- Most common term of discussion among archaeologists and beyond
- Any discussion in archaeology eventually involves the term

Archaeological site common definitions

- ...the <u>smallest unit of space</u> dealt with by the archaeologist... it be fairly continuously covered by the remains of former occupation, and the general idea is that these pertain to a single unit of settlement... the basic unit for stratigraphic studies... It is in effect the minimum operational unit of <u>geographic spaces</u>.
 - Willey, G.R. and Phillips, P. 1958. *Method and theory in American Archaeology*. Chicago: University of Chicago Press.
- A site is any place, large or small, where there are to be found traces of ancient occupation or activity.
 - Hole,F. and Heizer,R.F. 1973. *An introduction to prehistoric archaeology*. New York: Holt, Rinehart and Winston.

Archaeological site common definitions

- ...<u>a spatial cluster</u> of cultural features or items, or both... The formal characteristics of a site are defined by its formal content and the spatial and associational structure of the population's cultural items and features present.
 - Binford ,L. R. 1964. A Consideration of Archaeological Research Design. American Antiquity, 29.4:425-441.
- ...provides the framework for recording artifact provenience and usually serves as a sampling frame at some level in most fieldwork and, by default serves as the unit of artifact association. Site is usually depicted as a basic unit of archaeology.
 - Dunnell,R.C. 1992. The Notion Site. In Rossignol,J. and Wandsnider,L. editors, Space, Time and Archaeological Landscapes, p.21-41. New York: Plenum Press.
- A distinct <u>spatial clustering</u> of artifacts, features, structures, and organic and environmental remains—the residue of human activity.
 - Renfrew, C.,, P. G. Bahn. *Archaeology: Theories, methods, and practice*. Thames and Hudson, 1991.

Archaeological site common definitions

- ...any <u>place</u> where object, features, or ecofacts manufactures or modified by human beings are found... <u>Any material remains</u> of the past which offer potential for archaeological investigation and analysis as a means of contributing to the understanding of past human communities.
 - Darvil, T. 2008. *The Concise Oxford Dictionary of Archaeology Online*. Oxford New York: Oxford University Press.
- ...<u>activity areas and rubbish</u>. That is where people have done things in the past and left some residue of having done something...
 - Drewett, P.L. 2011. Field Archaeology. An Introduction. Oxon: Routledge.
- An archaeological site is <u>a place</u>... in which evidence of past activity is preserved ... and which has been, or <u>may be</u>, <u>investigated using the discipline of archaeology</u> and represents a part of the archaeological record.
 - http://en.wikipedia.org

Archaeological sites

- basic necessary characteristics:
 - defined following an archaeological investigation (survey or excavation)
 - must have some remains (features, e.g. a fountain, or artifacts, e.g. arrowheads).
 - remains have to be "clustered" following an anthropogenic activity.
 - deliberate human activity at the site, which left the identified remains.

Ambiguities

- spatial boundaries delineation along identified formal cluster of finds
- temporal boundaries limitations none
- definition does not deal with variations of the above, such as: same human activity (of one cultural group) repeated over several episodes, different human activities (of a single cultural group) in a single time period, different cultural groups doing the same activity in the same time, or in different time periods.
- does the "archaeological site" represent "a single unit of settlement", or "the minimum geographic unit"?

+ Tabun cave Israel

- Half a million years between the lower part (G) and the upper part (B).
- Key site for human evolution





Tel Megiddo Israel

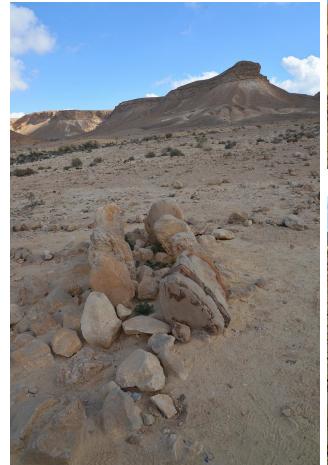
- One of the biblical city-kingdoms
- Over 3000 years of existence





+ Karkoum mountain

- "Altar of the twelve tribes"
- Petroglyphs









Identity conditions

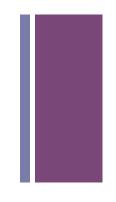
- Defined by the nature (causality) of the relations:
 - Objects context (observation)
 - Objects objects (observation)
 - Human activity objects (inference)
 - Association of activities (inference)
- Delineation of spatial borders
 - declarative(end of resources for excavation, permission limitations, etc.)
 - external constraints (e.g. a road, a building...)
 - phenomenological/observable (distribution of remains)
 - guesstimated input from other disciplines
- Delineation of temporal borders
 - Crisp end event (excavation), blur beginnings
 - Reference to other disciplines (e.g. history, physics / chemistry)

4 alternatives of substance

- Place
 - Defined by declaration /observation /past activity
 - As diachronically existing
- Matter
 - Concentration of a class of remains
 - As being stable/at rest for "a longer time"
- Spatially bound activity of the past
- An Information Object of scientific explanation

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Identity criteria – archaeological sites



- What
 - Physical space
 - Social space
- When
 - Subject to identification by archaeologist (?)
 - Comes into existence once an archaeological activity starts (survey, excavation)
 - Temporal boundaries (usually a blur beginning terminated by the modern process of archaeological excavation).

■ Who

- Exclusiveness
- One / multiple manifestations of human activities
- Mixture of anthropogenic / natural events
 - E.g. stratigraphy: tower built, abandoned, natural decay, build a cemetery.



Working definition Archaeological site

■ The goodness-of-fit between one or a series of (un)related past activities and the subsequent material culture produced and their manifestation in the present, as recorded during an archaeological investigation (e.g. excavation).

On-going work:

- Who are the actors involved (in the past and in the present)
- Where is it manifested?
- What knowledge is it based on?



Example – defining archaeological sites following an excavation

- Decision of excavation spatial boundary
 - Following an observation (e.g. change in sediment, anthropogenic feature, etc.)
 - Following an hypothesis (e.g. looking for the wall's foundation)
 - Following an interpretation (e.g. this is the floor of a room)

Information integration

- Structure of information
 - Inner representations
 - Conceptualization
 - Identity and unity criteria (archaeological site)
- Formal ontologies CIDOC-CRM
 - Explicit representation
 - Decoding information
 - Understand epistemology of archaeology
 - Representation of experts' judgment

CRMarchaeo: Excavation Process Unit Substance: doing Identity: Actor, location, temporal coherence Unity: begin/end (maybe documented) **E29 Design or Procedure** Intentional, Declarative P67 refers to E55 Type technique **SP6 Declarative** E7 Activity P32 used general technique **Place** P33 used specific technique E55 Type Q11 approximates planned area for drawings before excavating about where to excavate methodology **SP2 Phenomenal** P32 used general technique **Place** AP3 excavated E55 Type 3D excavated area P125 used object of type **Al Excavation Process Unit** P13 destroyed tools AP6 occupied P21 had general purpose constellation of Matter that happened to be at the excavated place **A9 Constellation of Matter** E55 Type "find Solomon's Temple" **S10 Material Substantial** AP5 cut P17 was motivated by **A2 Stratigraphic Unit** AP1 produced AP2 discarded into AP4 produced surface E73 Information Object preserved part of total of matter research question **E26 Physical Feature S11 Amount of Matter S11 Amount of Matter** "surface" "a basket" "a heap"



WP 14 Addressing Complexity

CRMarchaeo:

Modelling Context, Stratigraphic Unit, Excavated Matter

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